

# INTERNAL AUDIT SERVICES

A PROCESS TO MONITOR FINANCIAL, OPERATIONAL & COMPLIANCE CONTROLS



# AUDIT

Providing value to key stakeholders in a changing business environment.

# INTERNAL AUDIT SERVICES

While the importance of developing an ongoing process to monitor financial, operational, and compliance controls continues to rise, a company needs to remain focused on its core competencies to realize its objectives. Dopkins offers internal audit services to help you, your board of directors, management team, and other stakeholders provide the oversight needed to design and deploy an effective, efficient and risk based Internal Audit function.

## THE PROBLEM

Organizations are seeking to establish and improve Internal Audit services in order to bring value to key stakeholders in an efficient and risk based manner. The challenge is leveraging resources, technology, and human capital to return value while responding to a rapidly changing business environment.

## OUR SOLUTION

Dopkins Internal Audit team delivers value to your organization by focusing on the following:

- Utilization of a top-down, strategic, approach
- Integration of risk assessments
- Communication with stakeholders
- Leveraging data mining and data analytics
- Integration of “best-in-class” methodologies and tools from prominent sources, including Committee of Sponsoring Organizations (COSO), The Institute of Internal Auditors (IIA), among others.

## WHY CHOOSE DOPKINS?

CUSTOMIZED SOLUTIONS BASED ON EXPERIENCE.

Creating an effective internal audit function requires employing professionals with diverse knowledge and skills. Whether you choose to maintain this expertise internally or through an outsourced/co-sourced resource like Dopkins, it's vital to understand both internal and external risk factors. We staff highly trained professionals and possess the methodologies and technology to provide quality internal audit and consulting services for your company. You can benefit from a high quality internal audit service without investing in people, training, and technology unrelated to your core business competencies.

# INTERNAL AUDIT SERVICES

## BENEFITS TO OUR CLIENTS

Our service helps you better protect your assets, prevent loss, ensure compliance with regulatory bodies, maintain internal controls and prevent fraud. With an emphasis on designing Internal Audit to add value, our focus yields an efficient and effective risk-based audit plan and consulting projects. Dopkins Internal Audit team can help you:

- Better manage risks and control costs;
- Understand what is currently being done to manage risks and provide recommendations, if necessary, to improve processes;
- Leverage in-house resources more effectively;
- Identify emerging risks earlier;
- Provide better quality information to management, board of directors, and other interested parties for better governance;
- Utilize data mining and analytical methods to optimize the internal audit process in a cost-efficient and highly effective manner; and
- Validate processes and controls impacted by Information Technology are working as expected.

## WHO NEEDS THESE SERVICES?

Both public and non-publicly owned companies are finding value in the use of an internal control framework such as the COSO framework to assess their internal control environments. Our knowledge and experience with internal audit best practices can assist your organization in finding the right fit.

## QUESTIONS FOR YOU

- Do stakeholders believe your Internal Audit function is efficient, effective, risk based, leverages technology and adds value?
- Have you recently compared your Internal Audit processes to industry best practices?
- Have you considered the benefits of co-sourcing and to what extent it can improve your Internal Audit process?
- Are you considering creating an Internal Audit function but aren't sure where to start or if you are "large enough" to justify the initiative?



## YOUR INTERNAL AUDIT SERVICES TEAM

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**James A. Krupinski, CPA**

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Jim spends a significant amount of his time on risk and internal control assessments, audit management as well as developing and implementing control gap remediation plans. He serves clients from diverse breadth of industries, including not for profit, healthcare, group self-insurers, construction, service, distribution and manufacturing sectors.



**Bart F. McGloin CPA, CFE, CFF**

Partner, Assurance Services Group  
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Bart serves as the partner-in-charge of the Firm's Assurance Services Group. He specializes in forensic accounting matters including fraud and embezzlement cases, litigation support services, and fraud prevention techniques.



**Andrew J. Reading, CPA**

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Andrew concentrates his practice on audit engagements and also developed a specialization in internal controls. He has also built a niche practice with emphasis on employee benefit plans. He primarily serves clients in the healthcare, cooperative, not-for-profit and manufacturing industry sectors.



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### INDUSTRIES SERVED:

- Auto Dealerships
- Charities and Tax Exempt Organizations
- Construction
- Employee Benefit Plans
- Financial Services
- Family Owned Businesses
- Food and Agri-Business
- Health Care/Medical Industry
- Insurance Companies
- Information Technology Security
- Life Sciences
- Manufacturing/Wholesale Distribution
- Real Estate Developers
- Wineries, Craft Beer and Spirits